

國立中山大學碩、博士學位論文學術倫理品保管控機制

Mechanism for Maintaining Academic Research Ethics in Graduate Theses and Dissertations

管控暨審核流程業經109年7月28日本校臨時教師評審委員會通過
Approved at the interim University Faculty Evaluation Committee meeting on July 28th, 2020

一、 依據

I. These provisions are formulated based on the

- (一) 學位授予法
- (1) *Degree Conferral Act,*
- (二) 本校「研究生學位考試施行細則」
- (2) *The University's Guidelines on the Enforcement of Graduate Degree Examination,*
- (三) 本校「碩、博士學位論文抄襲、代寫、舞弊處理原則」
- (3) *Principles for Handling Plagiarism, Ghostwriting and Fraudulence in Master's Theses or Doctoral Dissertations,*
- (四) 本校「學術研究倫理教育研習課程實施要點」
- (4) *Guidelines on Implementation of Academic Research Ethics Course for Graduates, and*
- (五) 本校「學位論文管理辦法」
- (5) *Regulations for Management of Graduate Theses and Dissertations.*

二、 本校論文品保管控機制

II. The University's mechanism for maintaining academic research ethics in graduate theses/dissertations is as follows:

- (一) 全校碩、博士生均須通過學術研究倫理教育研習課程方得申請學位考試：
- (1) Graduate students must complete and pass the academic research ethics course before taking the degree examination.
 - 1. 104學年度本校訂定「學術研究倫理教育研習課程實施要點」。
 - i. *The Guidelines on Implementation of Academic Research Ethics Course for Graduates* were formulated in 2015 to mandate
 - 2. 規範本校碩士班（含碩士在職專班）與博士班學生，

須修習通過「學術研究倫理教育」課程，以入學第一學年結束前修習本課程為原則。

- ii. graduate students, including those of in-service master's programs, to complete and pass the *academic research ethics course* by the end of their first academic year.
- 3. 碩、博士學生於第一學年結束後尚未完成前述課程者，將於本校選課系統進行警示，以提醒同學注意及早完成學術倫理教育課程。
- iii. Graduate students who fail to complete the said course by the deadline shall receive a reminder of finishing the course as soon as possible.
- 4. 學生申請學位考試時，教務處複查學生修習紀錄，通過前述課程學生方得申請學位考試。
- iv. The Office of Academic Affairs (OAA) shall review students' academic records to make sure that they complete and pass the course before applying for the degree examination.

(二) 全校碩博士生論文均需經學術論文原創性比對系統檢核：

(2) All theses and dissertations shall be checked by an originality analysis system (hereinafter referred to as the "System").

- 1. 自108 學年度第 2 學期起，全校碩博士生論文均需經學術論文原創性比對系統二階段（口試論文及畢業論文）之檢核。
 - i. From the Spring semester 2020 onwards, all master's theses and doctoral dissertations shall be checked by the System before the draft versions are approved by the supervisors for oral defense and before the final versions are submitted to the OAA for approval.
 - 2. 學位論文口試一星期前，研究生須將經圖書資訊處之論文原創性比對系統比對之口試論文（均含摘要）比對結果，提供指導教授與口試委員審閱。
 - ii. Graduate students shall provide the originality reports of their theses/dissertations (including abstracts) to their supervisors and oral defense committee members one week before the defense.
 - 3. 口試委員於學位考試評分表上確認口試論文之比對結果是否符合委員要求。
 - iii. The committee members shall confirm in the evaluation form whether the System reports meet their requirements.

4. 研究生辦理畢業離校時，另需繳交畢業論文之「原創性報告」及「研究生學位論文符合學術倫理規範聲明書」至系所辦公室存查，方得畢業離校。【後續將建置系統供上傳相關資料及進行線上簽核】
- iv. Graduate students shall submit their “Thesis or Dissertation Originality Report” and “Declaration for Graduates’ Thesis or Dissertation Fulfilling Academic Ethics Requirements” to the affiliated department/institute office for future reference before graduation.
5. 論文經原創性比對標準由各系所於研究生修業相關規定自訂。
- v. Departments and institutes shall establish their individual criteria for the originality of thesis/dissertation in their requirements of graduate studies.

(三) 本校取消學位論文永不公開選項：

(3) The University removes the option of non-disclosure for thesis/dissertation.

1. 本校於 108 學年度第 2 學期修訂本校「學位論文管理辦法」，自 109 學年度起，論文授權期限取消「永不公開」選項。(remove)
- i. The University amended the *Regulations for the Management of Graduate Theses and Dissertations* in Spring semester 2020. From Fall semester 2020 onwards, the option of non-disclosure for thesis/ dissertation is unavailable.
2. 研究所畢業生於上傳電子論文時，與指導教授討論後選擇學位論文開放年限，採「立即公開」及「一至三年後公開」經指導教授確認，「四至五年後公開」應檢具涉及機密、專利事項或依法令限制公開之證明資料並載明原因，經指導教授及系所（學程）主管認定簽章，電子論文至多延至 10 年公開為限。【後續將建置系統進行線上簽核】
- ii. Graduate students shall discuss with their supervisors about the disclosure timing of the electronic copy of thesis/dissertation before uploading to the library. The supervisors shall directly approve the option of “immediate disclosure” or “disclosure after one to three years”. Choosing the option of “disclosure after four

years” shall be supported by proofs specifying whether the contents involve confidentiality or potential patent application, or are restricted by laws for disclosure. Approvals from both the supervisor and the chair of the affiliated unit are required for choosing this option, with the maximum delay of disclosure being ten (10) years.

3. 前述紀錄經圖資處確認後，方得辦理畢業離校。

iii. Students may graduate and leave the University after the option of disclosure timing is confirmed by the Office of Library and Information Services.

(四) 學術倫理案件均依法本公平、公正、客觀、明快原則個案審定：本校各單位知悉或接獲檢舉本校博、碩士學位論文有抄襲或其他舞弊情事時，依「本校碩、博士學位論文抄襲、代寫、舞弊處理原則」本公平、公正、客觀、明快原則進行審議。

(4) Cases regarding any violation of academic research ethics shall be deliberated in a fair, impartial, objective, and decisive manner, and shall be handled in accordance with the University's *Principles for Handling Plagiarism, Ghostwriting and Fraudulence in Master's Theses or Doctoral Dissertations* once a unit is aware of or receives a report of such violation.

三、 本校「碩、博士學位論文學術倫理品保管控暨審核流程」如附件，畢業論文比對之資料上傳及線上簽核功能建置中，俟建置完成將另行通知操作流程。

III. A document of *Review Procedure for Academic Research Ethics of Graduate Theses and Dissertations* is attached. The said system stipulated in Item 4, Subparagraph 2, Article 2 is under construction. The operation procedure will be notified once the system is established.

四、 請教務處同仁確認本管控暨審核流程是是須經教務處內部會議通過後，再送OAA校教評會議。